

Approaches to Making Dynamic Data Citeable

Recommendations of the RDA Working Group

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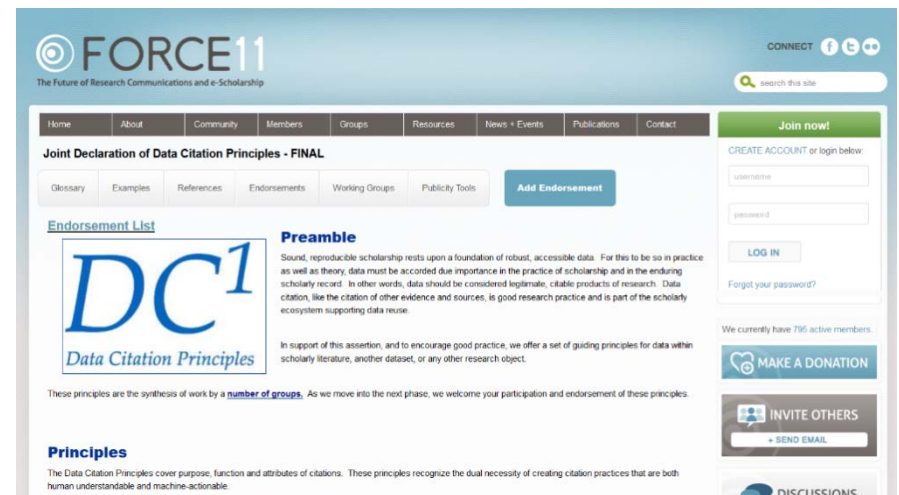


Outline

-
- Joint Declaration of Data Citation Principles
 - Challenges in non-trivial settings
 - Recommendation of the RDA Working Group
 - Pilots
 - Summary
-

Joint Declaration of Data Citation Principles

- 8 Principles created by the Data Citation Synthesis Group
- <https://www.force11.org/datacitation>
- The Data Citation Principles cover purpose, function and attributes of citations
- Goal: Encourage communities to develop practices and tools that embody uniform data citation principles



1) Importance

Data should be considered legitimate, citable products of research. Data citations should be accorded the same importance as publications.

2) Credit and Attribution

Data citations should facilitate giving credit and normative and legal attribution to all contributors to the data.

3) Evidence

Whenever and wherever a claim relies upon data, the corresponding data should be cited.

4) Unique Identification

A data citation should include a persistent method for identification that is machine actionable, globally unique, and widely used by a community.

5) Access

Data citations should facilitate access to the data themselves and to such associated metadata, documentation, code, and other materials, as are necessary for both humans and machines to make informed use of the referenced data.

6) Persistence

Unique identifiers, and metadata describing the data, and its disposition, should persist - even beyond the lifespan of the data they describe.

7) Specificity and Verifiability

Data citations should facilitate identification of, access to, and verification of the specific data that support a claim. Citations or citation metadata should include information about provenance and fixity sufficient to facilitate verifying that the specific timeslice, version and/or granular portion of data retrieved subsequently is the same as was originally cited.


8) Interoperability and flexibility

Data citation methods should be sufficiently flexible to accommodate the variant practices among communities, but should not differ so much that they compromise interoperability of data citation practices across communities.

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- Citing data may seem easy
 - from providing a URL in a footnote
 - via providing a reference in the bibliography section
 - to assigning a PID (DOI, ARK, ...) to dataset in a repository
- What's the problem?



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present suggests the presence of 4 lineages, if *Eriophorum crinitum* groups with the rest of Scirpeae: the Dulichieae (*Dulichium* + *Blysmus*), *Khaosokia*, Scirpeae, and Cariceae. More targeted work on the Scirpeae will be necessary to clarify this. The Fuireneae + Cyperaceae clade presents a similar problem: the monophyletic Cyperaceae contains 2 well-supported clades (*Cyperus* s.l. and *Ficinia*/Isolopis), but the taxa usually attributed to the Fuireneae form a polytomy below Cyperaceae (Figs. 6 and 7). Previous studies have seen these lineages positioned in many different locations, usually without strong support (Simpson et al. 2007; Muasya et al. 2009), but a study using *ndhF* and *psbB-psbH* (Hinchliff et al. 2010) showed strong support for a Fuireneae grade leading to the Cyperaceae. Additional sampling of *ndhF* and other data-rich cpDNA regions such as *psbB-psbH* and perhaps *matK* may help clarify these relationships.

Overall, 9 clades are strongly supported and morphologically diagnosable (Mapanioidae, Trilepidae, Sclerieae, Schoeneae, Rhynchosporae, Abildgaardieae, *Eleocharis*, and Cyperaceae), and should be recognized in a new classification, as previous classifications are clearly do not define phylogenetic lineages as we now know them. Additional research will clarify how many diagnosable lineages will need to be recognized within the *Carex* + Dulichieae + *Khaosokia* + Scirpeae clade and the Fuireneae assemblage.

SUPPLEMENTARY MATERIAL
Data files and/or other supplementary information related to this paper have been deposited on Dryad at <http://datadryad.org> under doi: 10.5061/dryad.6p76c3pb.

FUNDING
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ACKNOWLEDGEMENTS
Useful discussions from WSU/UI PuRGe were invaluable, as was specific feedback from Matt Pennell, Luke Harmon, and Jeremiah Busch. Many thanks to Steve Orzell and Edwin Bridges of Avon Park, FL, for companionship and hospitality in the field that mistakenly went unobserved in an earlier paper.

REFERENCES
Aberer A.J., Krompass D., Stamatakis A. 2012. Pruning rogue taxa improves phylogenetic accuracy: an efficient algorithm and webservice. *Systematic Biology* (in press) doi:10.1093/sysbio/sys078.

quantitative analysis of the problem of constructing an NLI we shows: we downloaded a dataset which has been frequently used of natural language interfaces, i.e. the Geobase dataset col- and his student¹. The Geobase dataset describes states, cities, rivers and roads in the U.S., together with attributes such as population (state, city), length (river), height (mountain, lo-

consists of a set of 880 test questions (actually 883 questions) through a web interface hosted at the University of Austin in the 883 test questions for our analysis. After downloading the g), we converted the whole dataset into the ontology languages OWL³. The datasets are available from <http://www.cimiano.de> datasets and other Material → ORAKEL.

Fig. 2. Image examples with ground truth object annotation for different categories of the PASCAL 2005 challenge. The dataset may be obtained from <http://www.pascal-network.org/challenges/VOC>.

¹ This dataset is available from: <http://www.cs.utexas.edu/users/ml/nldata.html>

² There is also a dataset consisting of 250 questions available from the University of Texas but this is merely a subset of the larger dataset.

³ <http://www.w3.org/TR/owl-features/>

Citation of Dynamic Data

- Citable datasets have to be static
 - Fixed set of data, no changes:
no corrections to errors, no new data being added
- But: (research) data is **dynamic**
 - Adding new data, correcting errors, enhancing data quality, ...
 - Changes sometimes highly dynamic, at irregular intervals
- Current approaches
 - Identifying entire data stream, without any versioning
 - Using “accessed at” date
 - “Artificial” versioning by identifying batches of data (e.g. annual), aggregating changes into releases (time-delayed!)
- Would like to cite precisely the **data as it existed at certain point in time**, without delaying release of new data

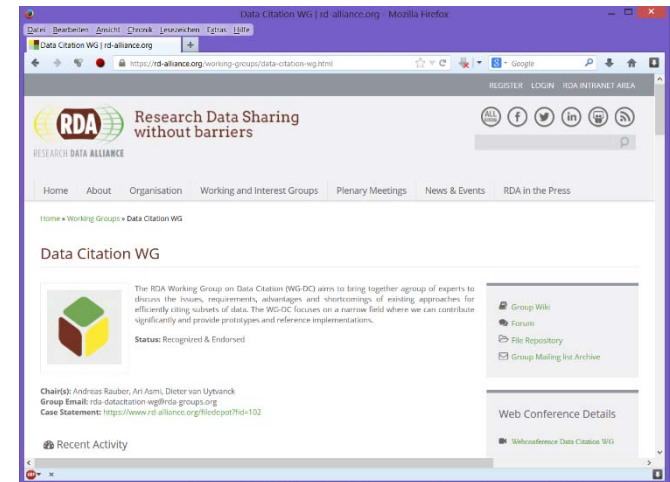
Granularity of Data Citation

- What about the **granularity** of data to be cited?
 - Databases collect enormous amounts of data over time
 - Researchers use specific subsets of data
 - Need to identify precisely the subset used
- Current approaches
 - Storing a copy of subset as used in study -> scalability
 - Citing entire dataset, providing textual description of subset
-> imprecise (ambiguity)
 - Storing list of record identifiers in subset -> scalability,
not for arbitrary subsets (e.g. when not entire record selected)
- Would like to be able to cite precisely the
subset of (dynamic) data used in a study

Data Citation – Requirements

- Dynamic data
 - corrections, additions, ...
- Arbitrary subsets of data (granularity)
 - rows/columns, time sequences, ...
 - from single number to the entire set
- Stable across technology changes
 - e.g. migration to new database
- Machine-actionable
 - not just machine-readable,
definitely not just human-readable and interpretable
- Scalable to very large / highly dynamic datasets
 - but should also work for small and/or static datasets

- Research Data Alliance
- WG on **Data Citation: Making Dynamic Data Citeable**
- WG officially endorsed in March 2014
 - Concentrating on the problems of **large, dynamic (changing) datasets**
 - Focus!
Not: PID systems, metadata, citation string, attribution, ...
 - Liaise with other WGs and initiatives on data citation (CODATA, DataCite, Force11, ...)



- <https://rd-alliance.org/working-groups/data-citation-wg.html>

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Data Citation: Data + Means-of-access

- Data → time-stamped & versioned (aka history)

Researcher creates working-set via some interface:

- Access → **assign PID to QUERY**, enhanced with
 - **Time-stamping** for re-execution against versioned DB
 - **Re-writing** for normalization, unique-sort, mapping to history
 - **Hashing** result-set: verifying identity/correctness

leading to landing page

S. Pröll, A. Rauber. **Scalable Data Citation in Dynamic Large Databases: Model and Reference Implementation**. In IEEE Intl. Conf. on Big Data 2013 (IEEE BigData2013), 2013

http://www.ifs.tuwien.ac.at/~andi/publications/pdf/pro_ieeebigdata13.pdf

Data Citation – Deployment

- Note: query string provides excellent provenance information on the data set!
- Upon executing query (e.g. "download"), user gets
 - Data (package)
 - PID (e.g. `10.62911/201901.0001`)
 - Hash value
 - Recommended citation text (e.g. `@inproceedings{...}`)
- PID resolves to landing page
 - Provides detailed metadata, link to parent data set, subset,...
 - Option to retrieve **original data** OR **current version** OR **changes**
- Upon activating PID associated with a data citation
 - Query is re-executed against time-stamped and versioned DB
 - Results as above are returned

This is an important advantage over traditional approaches relying on, e.g. storing a list of identifiers/DB dump!!!

Note:

- 1 & 2 are already pretty much standard in many (RDBMS-) research databases
- Different ways to implement
- A bit more challenging for some data types (XML, LOD, ...)

System set-up to support dynamic data:

1. Ensure data is time-stamped

i.e. any additions, deletions are marked with a timestamp
(*optional, if data is dynamic*)

2. Ensure data is versioned

i.e. updates not implemented as overwriting an earlier value, but as *marked-as-deleted* and *re-inserted* with new value, both time-stamped
(*optional, if data is dynamic and access to previous versions is desired*)

3. Create a query store for queries and metadata

Data Citation – Recommendations

(Draft, 2/4)

When a specific subset of data needs to be persistently identified (i.e. not necessarily for all subsets!):

- 1. Re-write the query to a normalized form** *(optional)*
- 2. Specifically: re-write the query to ensure unique sort of the result set** *(optional)*
- 3. Compute a hash key** of the normalized query to identify identical queries *(optional)*
- 4. Assign a time-stamp to the query**
Execution time **or:** last update to the entire database **or:** last update to the subset of data affected by the query
- 5. Compute a hash key of the result set** *(optional)*
- 6. Assign PID to the query** *(if query/result set is new)*
- 7. Store query and metadata** in query store

Data Citation – Recommendations

(Draft, 3/4)

Upon request of a specific subset:

1. **PID resolves to landing page** of the subset, provides metadata including link to the super-set (PID of the DB)
2. **Landing page allows** (transparently, in a machine-actionable manner) to **retrieve the subset by re-executing the query**
 - Query can be re-executed with the **original time stamp** or with the **current timestamp**, retrieving the semantically identical data set but incorporating all changes/corrections/updates applied since.
 - Storing the query string provides comprehensive **provenance information** (description of criteria that the subset satisfies)

Data Citation – Recommendations

(Draft, 4/4)

Upon modifications to the data management system:

- 1. When data is migrated** to a new representation (new DBMS system, new schema), the **queries need to be migrated**
- 2. Hash keys** for the query strings may need to be **re-computed**
- 3. Hash input function** for result set may need to be **adapted** to ensure that the result sets are presented in the same form to the hash function
- 4. Successful re-writing should be verified** by ensuring that queries can be re-executed resulting in the correct result set hash key

Initial Pilots

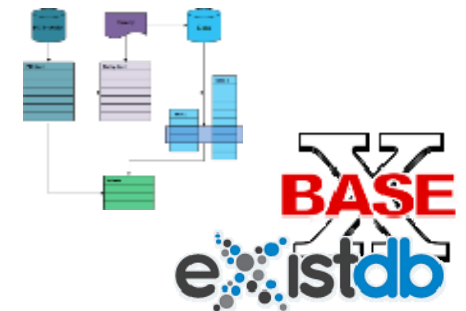
- Devised concept
- Identified challenges (unique sorting, hash-key computation, distribution, different data types, ...)
- Evaluated conceptually in different settings
 - How to apply versioning/time-stamping efficiently?
 - How to perform query re-writing?
 - How easy to adopt / changes required within RI?
- Started implementing pilots
 - SQL: LNEC, MSD
 - CSV: MSD, open source prototype
 - XML: xBase

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WG Pilots

- Pilot workshops and implementations by
 - Various EU projects (TIMBUS, SCAPE,...)
 - NERC (UK Natural Environment Research Council Data Centres)
 - ESIP (Earth Science Information Partners)
 - CLARIN (XML, Field Linguistics Transcriptions)
 - Virtual Atomic and Molecular Data Centre
- Prototype solutions for
 - SQL, CSV, XML (partially)
 - LOD/RDF, triple-store DBs in the queue
 - Distributed data



Dynamic Data Citation for SQL Data

LNEC, MSD Implementation

SQL Prototype Implementation

- LNEC Laboratory of Civil Engineering, Portugal
- Monitoring dams and bridges
- 31 manual sensor instruments
- 25 automatic sensor instruments
- Web portal
 - Select sensor data
 - Define timespans
- Report generation
 - Analysis processes
 - LaTeX
 - publish PDF report



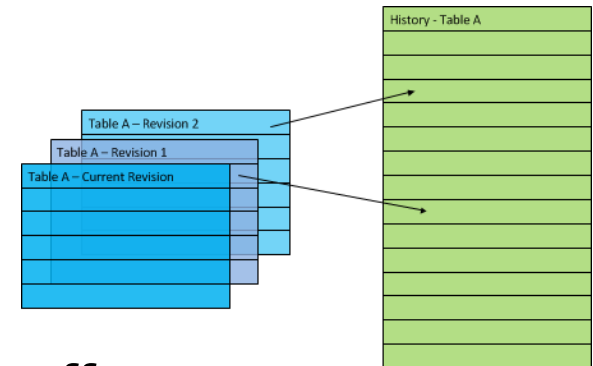
Florian Fuchs [CC-BY-3.0 (<http://creativecommons.org/licenses/by/3.0>)], via Wikimedia Commons



- Million Song Dataset
<http://labrosa.ee.columbia.edu/millionsong/>
- Largest benchmark collection in Music Retrieval
- Original set provided by Echonest
- No audio, only several sets of features
(16 – 1440 measurements/features per song)
- Harvested, additional features and metadata
extracted and offered by several groups
e.g. <http://www.ifs.tuwien.ac.at/mir/msd/download.html>
- Dynamics because of metadata errors, extraction errors
- Research groups select subsets by genre, audio length,
audio quality,...

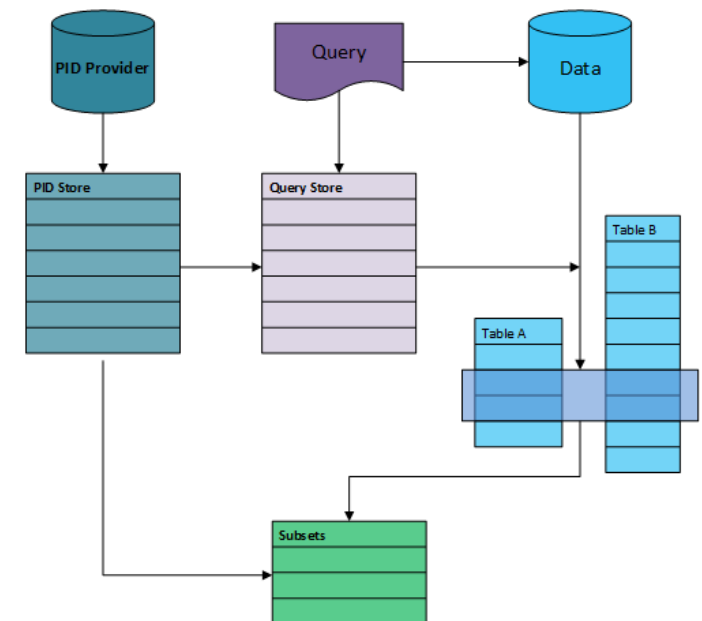
SQL Time-Stamping and Versioning

- Integrated
 - Extend original tables by temporal metadata
 - Expand primary key by record-version column
- Hybrid
 - Utilize history table for deleted record versions with metadata
 - Original table reflects latest version only
- Separated
 - Utilizes full history table
 - Also inserts reflected in history table
- Solution to be adopted depends on trade-off
 - Storage Demand
 - Query Complexity
 - Software adaption



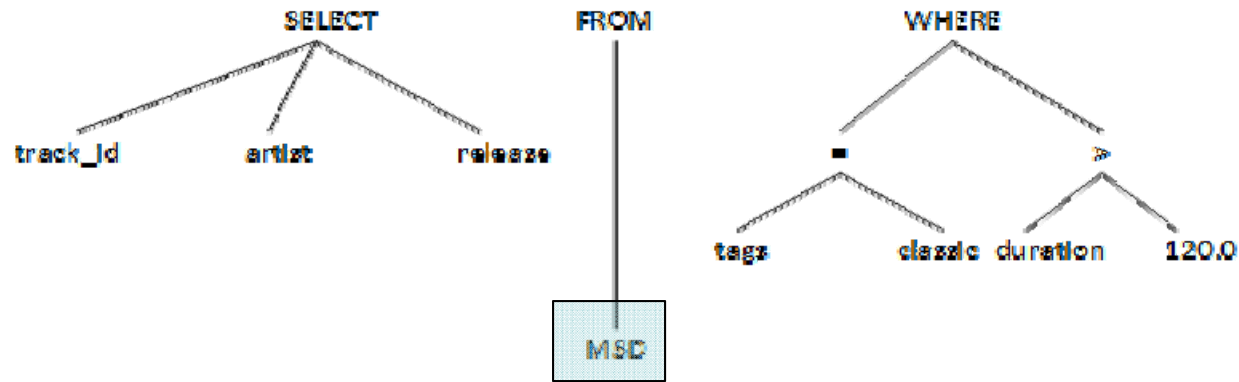
SQL: Storing Queries

- Add query store containing
 - PID of the query
 - Original query
 - Re-written query + query string hash
 - Timestamp
(as used in re-written query)
 - Hash-key of query result
 - Metadata useful for citation / landing page
(creator, institution, rights, ...)
 - PID of parent dataset
(or using fragment identifiers for query)



SQL Query Re-Writing

- Adapt query to history table



```

SELECT results.track_id, results.artist, results.release
FROM MSD AS results JOIN (
  SELECT track_id, max(timestamp) AS latestTimestamp
  FROM MSD
  WHERE timestamp <= (SELECT @queryExecutionTimestamp)
  AND (track_id NOT IN
    (SELECT track_id FROM MSD AS deletedRecords
     WHERE deletedRecords.status_mark = 'deleted'
     AND (deletedRecords.timestamp < @queryExecutionTimestamp))
  )
  GROUP BY track_id
) AS version ON results.track_id = version.track_id AND results.timestamp = version.latestTimestamp

WHERE
  results.tags = 'classic' AND results.duration > 120
ORDER BY results.track_id;
  
```

Dynamic Data Citation for CSV Data

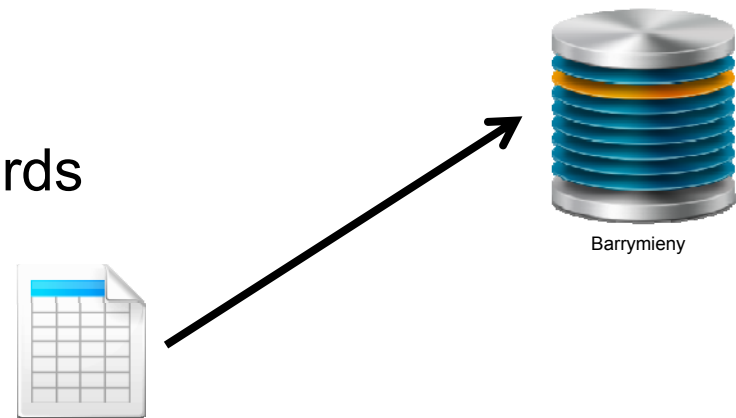
Open Source Reference Implementation

Dynamic Data Citation for CSV Data

- Why CSV data? (not large, not very dynamic...)
 - Well understood and widely used
 - Simple and flexible
 - Most frequently requested during initial RDA meetings
- Goals:
 - Ensure cite-ability of CSV data
 - Enable subset citation
 - Support particularly small and large volume data
 - Support dynamically changing data
- 2 Options:
 - Versioning system (subversion/svn, git, ...)
 - Migration to RDBMS

CSV Prototype: Basic Steps

- Upload interface
 - Upload CSV files
- Migrate CSV file into RDBMS
 - Generate table structure, identify primary key
 - Add metadata columns for versioning
 - Add indices
- Dynamic data
 - Update / delete existing records
 - Append new data
- Access interface
 - Track subset creation
 - Store queries



CSV Data Prototype



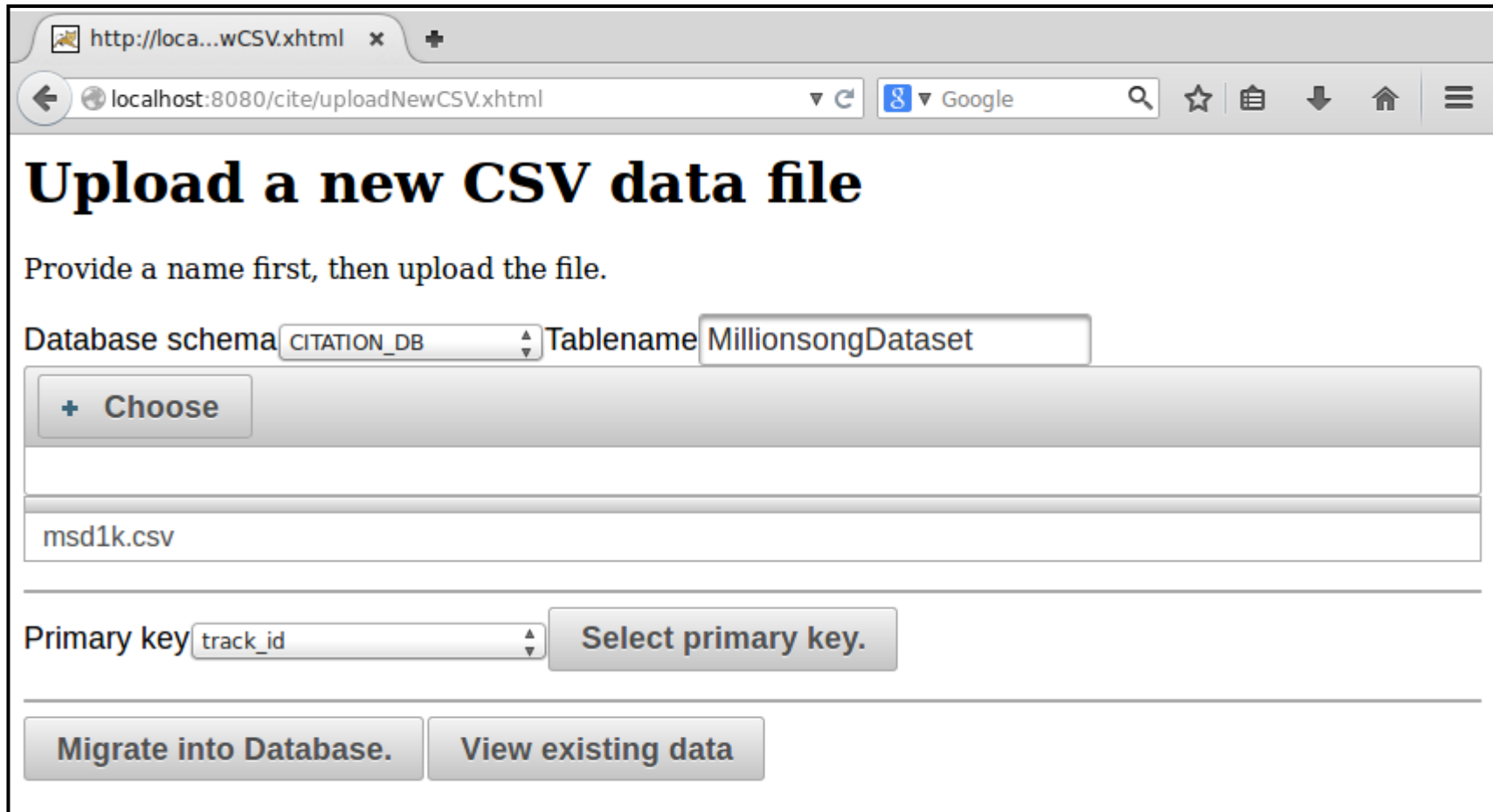
Data Citation Tool for CSV Data

This tool allows to upload, update and reference CSV subsets.

Upload CSV data

[Upload new data](#)[Update existing data](#)[View existing data](#)

CSV Data Prototype



http://loca...wCSV.xhtml x +

localhost:8080/cite/uploadNewCSV.xhtml

Google

Upload a new CSV data file

Provide a name first, then upload the file.

Database schema CITATION_DB Tablename MillionsongDataset

+ Choose

msd1k.csv

Primary key track_id Select primary key.

Migrate into Database. View existing data

CSV Data Prototype

Data Citation Tool x

localhost:8080/cite/table.xhtml

CITATION_DB ▼ MSD500k Load table

Show 10 entries Search:

duration	artist_familiarity	artist_hottness	year	digitalid	audiofile	lastfm	numlastfm	numlastfmmatched	rfeatures	audiofilelength	si
342.72608	0.7692655397035	0.517557658726	0	6768683	1	0	0	(Data n/a)	1	(
a75	Wolfgang Amadeus Mozart										
113.68444	0.7692655397035	0.517557658726	0	9032098	1	0	0	(Data n/a)	1	(
a75	Wolfgang Amadeus Mozart										
313.52118	0.7692										
a75	Wolfgang Amadeus Mozart										
116.61016	0.7692										
a75	Wolfgang Amadeus Mozart										
169.87383	0.7692										
a75	Wolfgang Amadeus Mozart										
257.64526	0.7692										
a75	Wolfgang Amadeus Mozart										
477.6224	0.7692										
a75	Wolfgang Amadeus Mozart										
273.00526	0.7692										
a75	Wolfgang Amadeus Mozart										
211.3824	0.7692										
a75	Wolfgang Amadeus Mozart										
135.13098	0.7692655397035	0.517557658726	0	4799936	1	0	0	(Data n/a)	1	(
a75	Wolfgang Amadeus Mozart / Otto Sieben										

Showing 1 to 10 of 500,000 entries

First Previous 1 2 3 4 5 Next Last

Initialize query store Store current selection Finalize dataset

Showing 1 to 10 of 500,000 entries

First Previous 1 2 3 4 5 Next Last

Initialize query store Store current selection Finalize dataset

Warten auf localhost...

CSV Data Prototype

Suggested citation
text:

Stefan Pröll (2015) "jj test" created at 2015-02-19 11:33:54.0, PID [ark:12345/5l86eH4qMX].
Subset of Stefan Pröll: "Adresses", PID [ark:12345/OjfL4gUmFo]

Download area

Download CSV
Subset

↓ Download

Download the CSV data of this subset at the execution time of the query

Download Latest
Subset

↓ Download

Download the CSV data of this subset at its current state

Download Full DB

↓ Download

Download the full database as CSV file

Download Diff CSV
file

↓ Download

Download the differences as CSV between the subset at its original execution time and now.

CSV Data Prototype

SQL string

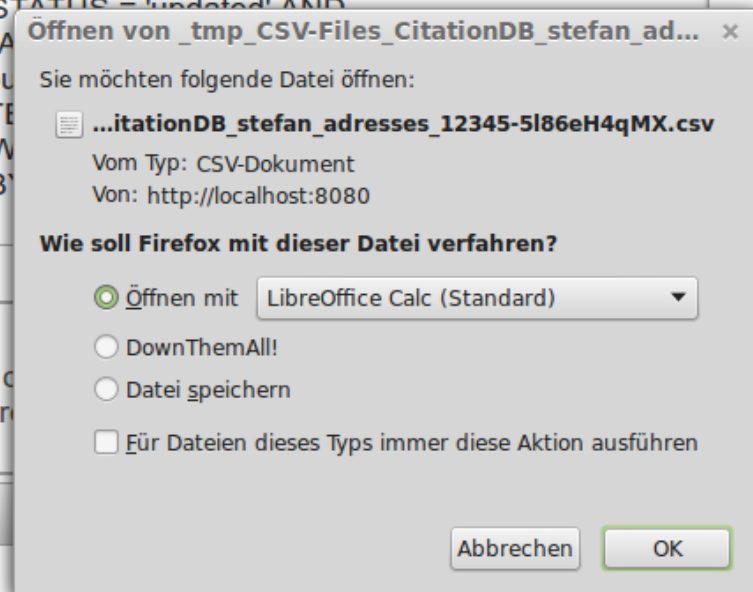
```
(innerSELECT.RECORD_STATUS = 'inserted' OR  
innerSELECT.RECORD_STATUS = 'updated' AND  
innerSELECT.LAST_UPDATE  
LAST_UPDATE) innerGroup  
innerGroup.LAST_UPDATE  
innerGroup.mostRecent W  
UPPER('%jj%') ORDER B
```

Suggested citation text:

Stefan Pröll (2015) "jj test" c
Subset of Stefan Pröll: "Adre

Download area

Download CSV Subset	Download	Download the CSV data of this subset at the execution time of the query
Download Latest Subset	Download	Download the CSV data of this subset at its current state
Download Full DB	Download	Download the full database as CSV file
Download Diff CSV file	Download	Download the differences as CSV between the subset at its original execution time and now.



Progress update from VAMDC Distributed Data Centre

Carlo Maria Zwölf

Virtual Atomic and Molecular Data Centre

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- Virtual Atomic and Molecular Data Centre
- Worldwide e-infrastructure federating 41 heterogeneous and interoperable Atomic and Molecular databases
- Nodes decide independently about growing rate, ingest system, corrections to apply to already stored data
- Data-node may use different technology for storing data (SQL, No-sql, ASCII files),
- All implement VAMDC access/query protocols
- Return results in standardized XML format (XSAMS)
- Access directly node-by-node or via VAMDC portal, which relays the user request to each node

Workshop prior to RDA P4

Issues identified

- Each data node could modify/delete/add data without tracing
- No support for reproducibility of past data extraction

Proposed Data Citation WG Solution:

- Considering the distributed architecture of the federated VAMDC infrastructure, it seemed very complex to apply the “Query Store” strategy
 - Should we need a QS on each node?
 - Should we need an additional QS on the central portal?
 - Since the portal acts as a relay between the user and the existing nodes, how can we coordinate the generation of PID for queries in this distributed context?

Status / Progress since RDA P4

- Versioning adopted prior to P4
- Central service registering user interactions with data
- At each client SW notifies tracing service that a given **user** is using, at a given **time**, that specific **software** for submitting a given **query**
- Will assign single identifier for each unique query centrally
- Query store initially private (confidentiality issues)

Further Pilots

- NERC: UK Natural Environment Research Council
 - ARGO buoy network: SeaDataNet
 - Butterfly monitoring, Ocean buoy network, National hydrological archive, ...
- ESIP: BCO-DMO
- XML Data in Field Linguistics (CLARIN, XBase)
- Further Pilots on XML, LOD, ...
- Workshops:
 - NERC Workshop, London, July 1/2 2014
 - ESIP Mtg in Washington, Jan 8 2015: Earth Science Data
 - Data Citation Workshop, Riva di Garda, April 20/21
 - Bilateral meetings with data centers



Join RDA and Working Group

If you are interested in joining the discussion, contributing a pilot, wish to establish a data citation solution, ...

- Register for the RDA WG on Data Citation:

- Website:

- <https://rd-alliance.org/working-groups/data-citation-wg.html>

- Mailinglist:

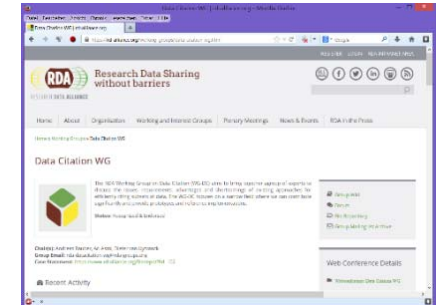
- <https://rd-alliance.org/node/141/archive-post-mailinglist>

- Web Conferences:

- <https://rd-alliance.org/webconference-data-citation-wg.html>

- List of pilots:

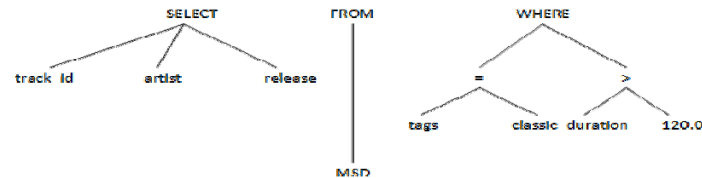
- <https://rd-alliance.org/groups/data-citation-wg/wiki/collaboration-environments.html>



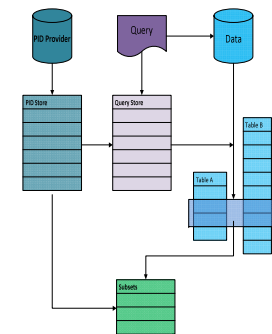
Summary

- Trustworthy and efficient e-Science based on data
 - Data as “1st-class citizen”
 - Support for identifying arbitrary subsets of dynamic data
 - Time-stamping and versioning of data
 - Storing (and citing) time-stamped queries
 - Allows retrieving exact view on data set as used
 - No need for artificial “versioning”, delaying release of new data, or redundant storage of data subset dumps
 - Helps tracing provenance (semantics) of data selection
 - Future work: distributed datasets, data & query migration
-

Thank you!



```
SELECT results.track id, results.artist, results.release
FROM MSD AS results JOIN (
  SELECT track id, max(timestamp) AS latestTimestamp
  FROM MSD
  WHERE timestamp <= (SELECT @queryExecutionTimestamp)
  AND (track id NOT IN
    (SELECT track id FROM MSD AS deletedRecords
     WHERE deletedRecords.status mark = 'deleted'
     AND (deletedRecords.timestamp < @queryExecutionTimestamp))
  )
  GROUP BY track id
) AS version ON results.track id = version.track id AND results.timestamp = version.latestTimestamp
WHERE results.tags = 'classic' AND results.duration > 120
ORDER BY results.track id;
```



<http://www.ifs.tuwien.ac.at/imp>

